



04/23/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
 REQUEST FOR FILING APPLICATION UNDER RULE 53(b)

Pursuant to 37 CFR 1.53(b), please file a ☒ continuation/☐ divisional  
 of the pending prior PATENT APPLICATION of:

Inventor: Kozlov et al

Serial No. 08/477,668

Filed: June 7, 1995

For: **INHIBITOR OF STEM CELL PROLIFERATION AND USES THEREOF**

Assistant Commissioner for Patents

Washington, DC 20231

Sir:

This request for filing under Rule 53(b) is made by the following named inventor(s) (using the above-identified title):  
 Inventor(s): Kozlov et al

☒ Attached is a true copy of the prior application as originally filed including the specification, claims, Oath/Declaration and drawings (if any) and abstract (if any). No amendments (if any) referenced in the Oath or Declaration filed to complete the prior application introduced new matter.

☐ Priority is hereby claimed under 35 USC 119 based on the following foreign applications, the entire content of which is hereby incorporated by reference in this application:

Application Number

Country

Day/Month/Year/Filed

☐ certified copy(ies) of foreign application(s) attached or

☐ already filed in \_\_\_\_\_ in prior appln. no. \_\_\_\_\_

☐ already filed in \_\_\_\_\_

filed \_\_\_\_\_

filed \_\_\_\_\_

☒ The prior application is assigned to Pro-Neuron, Inc..

☒ Power of Attorney has been granted to B. J. Sadoff et al, Reg. No. 36,663 of Nixon & Vanderhye P.C., 1100 N. Glebe Rd., 8<sup>th</sup> Floor, Arlington, VA 22201.

☒ Address all future communications to: Nixon & Vanderhye P.C., 1100 N. Glebe Rd., 8<sup>th</sup> Floor, Arlington, VA 22201.

☐ Please amend the specification by inserting before the first line --This is a \_\_\_\_\_ of application Serial No. \_\_\_\_\_, filed \_\_\_\_\_, now pending, the entire content of which is hereby incorporated by reference in this application.--

☒ This application is entitled to "Small entity" status. ☐ "Small entity" statement attached.

☐ Petition filed in prior application to extend its life to insure co-pendency.

☐ The Examiner's attention is directed to the prior art cited in the parent application by applicant and/or Examiner for the reasons stated therein.

☒ Please enter the attached and/or below preliminary amendment prior to calculation of filing fee:

☒ The entire disclosure of the prior application above-referenced is considered as being part of the disclosure of this new application and is hereby incorporated by reference therein.

☒ Also attached: ☒ Information Disclosure Statement ; ☐ Non-Publication Request; ☒ Other: Letter and paper copy of Sequence Listing

**FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY HEREWITH CANCELED**

Basic Filing Fee		\$	710.00
Total effective claims	6 - 20 (at least 20) = 0	x \$ 18.00	\$ 0.00
Independent claims	3 - 3 (at least 3) = 0	x \$ 80.00	\$ 0.00
If any proper multiple dependent claims now added for first time, add \$270.00 (ignore improper)		\$	0.00
		<b>SUBTOTAL</b>	\$ 710.00
If "small entity," then enter half (1/2) of subtotal and subtract		-\$	355.00
		<b>SECOND SUBTOTAL</b>	\$ 355.00
Assignment Recording Fee (\$40.00)		\$	0.00
		<b>TOTAL FEE ENCLOSED</b>	\$ 355.00

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No. 14-1140**. A duplicate copy of this sheet is attached.

1100 North Glebe Road, 8<sup>th</sup> Floor  
 Arlington, Virginia 22201-4714  
 Telephone: (703) 816-4000  
 Facsimile: (703) 816-4100  
 BJS:eww

**NIXON & VANDERHYE P.C.**  
 By Atty: B. J. Sadoff, Reg. No. 36,663

Signature: \_\_\_\_\_

Atty Dkt.: 1331-338

C# M#

Date: April 23, 2001

Group: 1653

Examiner: Carlson

11046 U.S. PTO  
 09/839164  
 04/23/01